



15W 2811

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Frank J. Juskey, et al.                      Docket: 102402-200  
Serial No.: 10/563,172                      Art Unit: 2811  
Filed: June 8, 2007                      Examiner: Not Yet Assigned  
Assignee: Advanced Interconnect                      Conf. No. 3747  
Technologies Limited  
Title: LEAD FRAME WITH INCLUDED PASSIVE DEVICES

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, please find enclosed an Information Disclosure Statement, Form PTO-1449 and one copy of each cited reference. The relevancy of these patents is that they were cited in an International Search Report for corresponding PCT Application No. PCT/US04/04676. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

**CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))**

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office via facsimile at (571) 273-8300.

Date: January 15, 2008

Signed:

Sherri T. Dente  
Sherri T. Dente

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1); and no fee is required under 37 C.F.R. §1.17(p).
- ☐ b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2); and no fee is required under 37 C.F.R. §1.17(p).
- ☐ Please charge Deposit Account No. 23-1665 in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Two additional copies of this Information Disclosure Statement are enclosed.
- ☐ A check in the amount of \$180.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(p).
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.

- ☐ a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ Please charge Deposit Account No. 23-1665 in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Two additional copies of this Information Disclosure Statement are enclosed.
- ☐ A check in the amount of \$180.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(p).

The undersigned represents that the closest art of which he is presently aware has been cited herein and understands that this statement shall not be construed as a representation that no better art exists or that a thorough patentability search has been made.

The undersigned further represents that the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Respectfully submitted,

Frank J. Juskey, et al.

Date: January 15, 2008

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Serial No.:	10/563,172	Art Unit:	2811
Filed:	June 8, 2007	Examiner:	Not Yet Assigned
Assignee:	Advanced Interconnect Technologies Limited	Conf. No.	3747
Title:	LEAD FRAME WITH INCLUDED PASSIVE DEVICES		

**INFORMATION DISCLOSURE STATEMENT**

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Commissioner for Patents  
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Dear Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to make of record the items on attached PTO Form 1449 which were considered in the preparation of the subject application or cited in the International Search Report for a corresponding application. Copies of the international patents and published patent applications, including other documents, are provided in accordance with 37 CFR §1.98.

1. U.S. Patent No. 6,713,317, which issued March 30, 2004 to Knapp, et al.
2. U.S. Patent No. 6,424,034, which issued July 23, 2002 to Ahn, et al.

The undersigned represent that the closest art of which they are presently aware has been cited herein and understand that this statement shall not be construed as representation that no better art exists or that a thorough patentability search has been made.

Respectfully submitted,  
Frank J. Juskey, et al.

Date: January 15, 2008

  
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Sherri T. Dente, Reg. No. 56,896

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